UBS

Your mission. Our guidance.
A productive collaboration.

Princeton Investment Consulting

Your organization's mission is more important than ever, but you face new and ongoing challenges. Funding sources, market forces and regulatory change have increased the burden on boards and investment committees. You need experienced guidance to help your team fulfill its responsibilities while advancing the work of the organization.

Enhance your mission with strategic consulting

We are a team of Senior Institutional Consultants who have spent decades working with endowments, foundations and not-for-profit organizations. Our intergenerational team of seasoned consultants delivers strategic guidance and fiduciary support that can help you stay current with best practices and the latest regulations. We are proud of our long tenure in the industry, as a team and at UBS.

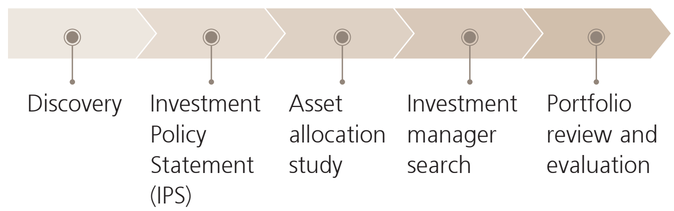
We will take the time to fully understand your organization's goals and financial situation, and design a plan and investment strategy that aligns with your specific needs. You can outsource your Chief Investment Officer function to us as needed through our OCIO capability. And we can recommend sustainable and socially responsible investment solutions that align with your organization's values. Our consulting team leverages the global resources, intellectual capital and strong financial backing of UBS, yet provides a personalized relationship and a high consultant-to-client ratio.

Support for your fiduciary responsibilities

We understand that managing your fiduciary responsibilities is one of your greatest concerns and can be a time-consuming challenge. Our team will acknowledge in writing the extent of our fiduciary responsibility with respect to the contracted advisory services we provide.

We will work with you to:

- Develop an investment policy statement (IPS) tailored to your organization's mission
- Identify investments aligned with the parameters of the IPS
- Promote communication and provide board/committee education
- Serve as your Outsourced Chief Investment Officer (OCIO) if requested
- Present sustainable and socially responsible (SRI) investment solutions



Our rigorous 5 step process

1 **Discovery**

- Understand your organization's needs
- Understand your investment objectives
- Spending policy review

2 **Investment Policy Statement (IPS)**

- Help you develop a plan based on your investment objectives and risk tolerance
- Perform periodic IPS review and recommendations
- Consider mission-oriented investment constraints

3 **Asset allocation study**

- Provide advice on portfolio design using UBS capital market assumptions, economic forecasts and portfolio modeling
- Advise on strategic asset allocation, tactical positioning and rebalancing strategy

4 **Investment manager search**

- Identify investments that align with your investment objectives and criteria
- Identify cost-effective investment options

5 **Portfolio review and evaluation**

- Provide market update and outlook
- Illustrate portfolio and current manager performance
- We provide periodic monitoring of your advisory accounts in accordance with your agreement with UBS
- Rebalance to maintain risk/return profile
- Education (board and investment committee)

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UBS Financial Services Inc.

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Our financial advisors have the institutional knowledge, global resources and investment solutions to help your organization pursue its financial goals and advance your philanthropic mission.

Call us to discuss how we can help support you in your fiduciary role.

Thought leadership, innovation and partnerships to support your sustainability efforts

UBS is a global philanthropic leader

Best Services for Philanthropy and Social Impact Investing
Euromoney, 2015-2019

External awards and recognition

Ranked first globally by our peers for Environmental Social Governance and Impact Investing

Industry leaderIndex member of DJSI World and DJSI Europe

A+ score in the Strategy and Governance module

Market leading position in 2019 GRESB assessments

Corporate responsibility prime status

FTSE4Good Index member

Recognized as industry leaders

L. Marc Shegoski, CSRIC™
Managing Director–Wealth Management

- *Barron's* Top 100 Institutional Consulting Team, 2021
- *Forbes* Best-In-State Wealth Advisor, NJ, 2018, 2021
- *Barron's* Top 100 Financial Advisor in America, 2012 – 2019
- *Barron's* Top 50 Institutional Consultants, New York, 2018

David Sears, CFP®, CIMA®, CRPS®
Senior Vice President–Wealth Management
Foundations and Endowments Consultant

- *Barron's* Top 100 Institutional Consulting Team, 2021
- *Forbes* America's Top Next-Generation Wealth Advisor, 2017-2021
- *Barron's* Top 50 Institutional Consultants, 2018
- *PLANADVISER* Top 100 Retirement Plan Advisors, 2016